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Form PTO-1449 (modified PADEMENT

List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)

ATTY. DKT. NO. 5181-64700

SERIAL NO. 09/653,525

APPLICANT: Traversat et al

GROUP: -2152-

2178

FILING DATE: August 31, 2000

U.S. PATENT DOCUMENTS

			.S. I A I ENI	DOCUMENTS		
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS SUB FILING D CLASS FILING D APPROP	
DN	Al	5,455,952	10/3/95	Gjovaag	717 108	
1	A2	5,386,568	1/31/95	Wold, et al.	717 RECEIVED	
	A3	5,423,042	6/6/95	Jalili, et al.	719 328	
	A4	5,481,721	1/2/96	Serlet, et al.	719 315 1 2001	
;	A5	5,557,798	9/17/96	Skeen, et al.	705 Fedhnology Center 210	n
	A6	5,944,793	8/31/99	Islam, et al.	709 220	<i>y</i>
	A7	5,946,485	8/31/99	Weeren, et al.	717 109	
	A8	5,946,694	8/31/99	Copeland, et al.	707 103R	
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.	370 453	
	A10	4,713,806	12/15/87	Oberlander, et al.	370 358	
	A11	4,809,160	2/28/89	Mahon, et al.	713 200	
	A12	4,939,638	7/3/90	Stephenson, et al.	710 244	
	A13	5,088,036	2/11/92	Ellis, et al.	707 206	
	A14	4,823,122	4/18/89	Mann, et al.	340 825.28	
	A15	4,956,773	9/11/90	Saito, et al.	717 104	
	A16	5109,486	4/28/92	Seymour	709 224	
	A17	5,187,787	2/16/93	Skeen, et al.	119 314	
	A18	5,218,699	6/8/93	Brandle, et al.	719 328	
	A19	5,257,369	10/26/93	Skeen, et al.	719 312	
	A20	5,293,614	3/8/94	Ferguson, et al.	707 201	
	A21	5,297,283	3/22/94	Kelly, Jr., et al.	718 104	
	A22	5,307,490	4/26/94	Davidson, et al.	719 328	
	A23	5,311,591	5/10/94	Fischer	713 156	
	A24	5,339,435	8/16/94	Lubkin, et al.	717 121	
1/	A25	5,390,328	2/14/95	Frey, et al.	719 315	
1	A26	5,440,744	8/8/95	Jacobson, et al.	709 203	

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National December National National			U	J.S. PATENT	DOCUMENTS			
A28			DOCUMENT NUMBER	DATE	NAME	CLASS		FILING DATE IF APPROPRIATE
A29	DN	A27	5,448,740	9/5/95	Kiri, et al.	717	155	
A30 5,475,792 12/12/95 Stanford, et al. 704 Jul 37 7001 A31 5,475,817 12/12/95 Waldo, et al. 71/9 3/6 A32 5,504,921 4/2/96 Dev, et al. 71/9 3/8 A33 5,511,197 4/23/96 Hill, et al. 71/9 3/8 A34 5,524,244 6/4/96 Robinson, et al. 71.7 7.4 A35 5,553,282 9/3/96 Parrish, et al. 70.7 1.0 A36 5,555,367 9/10/96 Premerlani, et al. 70.7 4.0 A37 5,555,427 9/10/96 Aoe, et al. 70.7 2.0 A38 5,560,003 9/24/96 Nilsen, et al. 70.7 2.0 A39 5,561,785 10/1/96 Blandy, et al. 70.7 2.0 A40 5,577,231 11/19/96 Scalzi, et al. 70.3 2.6 A41 5,594,921 1/14/97 Pettus 7/0 1 A42 5,603,031 2/11/97 White, et al. 7/9 3.1 7 A43 5,680,573 10/21/97 Rubin, et al. 7/1 1.7 A44 5,680,617 10/21/97 Gough, et al. 7/1 1.7 1.7 A45 5,684,955 11/4/97 Meyer, et al. 7/1 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/1 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/1 1.2 1.1 A48 5,706,502 1/6/98 Barbara, et al. 7/1 3.2 A49 5,724,588 3/3/98 Hill, et al. 7/9 3.2 A50 5,727,145 3/10/98 Nessett, et al. 7/10 2.0	1	A28	5,452,459	9/19/95	Drury, et al.	707	3	
A31		A29	5,471,629	11/28/95	Risch	707	rgcen	/ED
A31 5,475,817 12/12/95 Waldo, et al. 7/9 3/6 A32 5,504,921 4/2/96 Dev, et al. 7/9 3/2 A33 5,511,197 4/23/96 Hill, et al. 7/9 3/2 A34 5,524,244 6/4/96 Robinson, et al. 7/17 7/4 A35 5,553,282 9/3/96 Parrish, et al. 707 1/0 A36 5,555,367 9/10/96 Premerlani, et al. 7/07 4/0 A37 5,555,427 9/10/96 Aoe, et al. 7/07 2/0 A38 5,560,003 9/24/96 Nilsen, et al. 7/07 1/0 A39 5,561,785 10/1/96 Blandy, et al. 7/11 1/7 A40 5,577,231 11/19/96 Scalzi, et al. 7/03 2/6 A41 5,594,921 1/14/97 Pettus 7/0 1/1 A42 5,603,031 2/11/97 White, et al. 7/19 3/1 A43 5,680,573 10/21/97 Rubin, et al. 7/11 1/3/9 A44 5,680,617 10/21/97 Gough, et al. 7/07 10/4 / A45 5,684,955 11/4/97 Meyer, et al. 7/19 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/19 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/11 3/2 A48 5,706,502 1/6/98 Foley, et al. 7/19 3/2 A49 5,724,588 3/3/98 Hill, et al. 7/19 3/2 A50 5,727,145 3/10/98 Nessett, et al. 7/13 2/00 A51 5,617,537 4/1/97 Yamada, et al. 7/04 2/04		A30	5,475,792	12/12/95	Stanford, et al.	704	JUI 2333	2001
A33 5,511,197 4/23/96 Hill, et al. 719 328 A34 5,524,244 6/4/96 Robinson, et al. 717 7 4 0 A35 5,553,282 9/3/96 Parrish, et al. 707 10 A36 5,555,367 9/10/96 Premerlani, et al. 707 4 A37 5,555,427 9/10/96 Aoe, et al. 709 20 A38 5,560,003 9/24/96 Nilsen, et al. 707 266 A39 5,561,785 10/1/96 Blandy, et al. 711 170 A40 5,577,231 11/19/96 Scalzi, et al. 703 26 A41 5,594,921 1/14/97 Pettus 7/0 1 A42 5,603,031 2/11/97 White, et al. 7/9 3/7 A43 5,680,573 10/21/97 Rubin, et al. 7/1 179 A44 5,680,617 10/21/97 Gough, et al. 7/1 179 A45 5,684,955 11/4/97 Meyer, et al. 7/1 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/1 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/1 12/1 A48 5,706,502 1/6/98 Foley, et al. 7/1 3/2 A49 5,724,588 3/3/98 Hill, et al. 7/1 3/2 A50 5,727,145 3/10/98 Nessett, et al. 7/13 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 2044		A31	5,475,817	12/12/95	Waldo, et al.	719	3/6	
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A36 5,555,367 9/10/96 Premerlani, et al. 707 4 A37 5,555,427 9/10/96 Aoe, et al. 709 201 A38 5,560,003 9/24/96 Nilsen, et al. 707 206 A39 5,561,785 10/1/96 Blandy, et al. 711 170 A40 5,577,231 11/19/96 Scalzi, et al. 703 26 , A41 5,594,921 1/14/97 Pettus 7/10 11 A42 5,603,031 2/11/97 White, et al. 7/19 3/7 A43 5,680,573 10/21/97 Rubin, et al. 7/11 13-9 A44 5,680,617 10/21/97 Gough, et al. 707 10-4 ./ A45 5,684,955 11/4/97 Meyer, et al. 7/19 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/19 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/11 14-4 A48 5,706,502 1/6/98 Foley, et al. 7/11 14-4 A49 5,724,588 3/3/98 Hill, et al. 7/19 3/28 A50 5,727,145 3/10/98 Nessett, et al. 7/19 3/28 A51 5,617,537 4/1/97 Yamada, et al. 7/19 3/04		A34	5,524,244	6/4/96	Robinson, et al.	717	140	
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A39 5,561,785 10/1/96 Blandy, et al. 7 1 170 A40 5,577,231 11/19/96 Scalzi, et al. 703 26 A41 5,594,921 1/14/97 Pettus 7/0 11 A42 5,603,031 2/11/97 White, et al. 7/9 3/7 A43 5,680,573 10/21/97 Rubin, et al. 7/1 13/9 A44 5,680,617 10/21/97 Gough, et al. 7/07 104./ A45 5,684,955 11/4/97 Meyer, et al. 7/9 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/1 14/1 A48 5,706,502 1/6/98 Foley, et al. 7/9 3/8 A49 5,724,588 3/3/98 Hill, et al. 7/9 3/8 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 2044		A37	5,555,427	9/10/96	Aoe, et al.	709	201	
A39 5,561,785 10/1/96 Blandy, et al. 711 170 A40 5,577,231 11/19/96 Scalzi, et al. 703 26 , A41 5,594,921 1/14/97 Pettus 710 11 A42 5,603,031 2/11/97 White, et al. 719 317 A43 5,680,573 10/21/97 Rubin, et al. 711 199 A44 5,680,617 10/21/97 Gough, et al. 707 104.1 A45 5,684,955 11/4/97 Meyer, et al. 719 3/6 A46 5,689,709 11/18/97 Corbett, et al. 711 141 A47 5,706,435 1/6/98 Barbara, et al. 711 141 A48 5,706,502 1/6/98 Foley, et al. 707 10 A49 5,724,588 3/3/98 Hill, et al. 719 328 A50 5,727,145 3/10/98 Nessett, et al. 713 200 A51 5,617,537 4/1/97 Yamada, et al. 709 204		A38	5,560,003	9/24/96	Nilsen, et al.	707	206	
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A42 5,603,031 2/11/97 White, et al. 7/9 3/7 A43 5,680,573 10/21/97 Rubin, et al. 7/1 139 A44 5,680,617 10/21/97 Gough, et al. 7/0 7 104 ./ A45 5,684,955 11/4/97 Meyer, et al. 7/9 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/6 A47 5,706,435 1/6/98 Barbara, et al. 7/1 14/1 A48 5,706,502 1/6/98 Foley, et al. 7/0 10 A49 5,724,588 3/3/98 Hill, et al. 7/9 3/8 A50 5,727,145 3/10/98 Nessett, et al. 7/13 200 A51 5,617,537 4/1/97 Yamada, et al. 7/09 204		A40	5,577,231	11/19/96	Scalzi, et al.	703	26	
A43 5,680,573 10/21/97 Rubin, et al. 711 199 A44 5,680,617 10/21/97 Gough, et al. 707 104 . / A45 5,684,955 11/4/97 Meyer, et al. 7/9 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/5 A47 5,706,435 1/6/98 Barbara, et al. 7/1 1/4/1 A48 5,706,502 1/6/98 Foley, et al. 707 10 A49 5,724,588 3/3/98 Hill, et al. 7/9 328 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 204	,	A41	5,594,921	1/14/97	Pettus	710	11	
A44 5,680,617 10/21/97 Gough, et al. 707 104.1 A45 5,684,955 11/4/97 Meyer, et al. 7/9 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/5 A47 5,706,435 1/6/98 Barbara, et al. 7/1 1/4/1 A48 5,706,502 1/6/98 Foley, et al. 7/07 1/0 A49 5,724,588 3/3/98 Hill, et al. 7/9 3/8 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 204		A42	5,603,031	2/11/97	White, et al.	719	317	
A45 5,684,955 11/4/97 Meyer, et al. 7/9 3/6 A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/5 A47 5,706,435 1/6/98 Barbara, et al. 7/1 1/4/1 A48 5,706,502 1/6/98 Foley, et al. 707 10 A49 5,724,588 3/3/98 Hill, et al. 7/9 328 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 204		A43	5,680,573	10/21/97	Rubin, et al.	711	129	
A46 5,689,709 11/18/97 Corbett, et al. 7/9 3/5 A47 5,706,435 1/6/98 Barbara, et al. 7/1 1/4/1 A48 5,706,502 1/6/98 Foley, et al. 707 1/0 A49 5,724,588 3/3/98 Hill, et al. 7/9 328 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 204		A44	5,680,617	10/21/97	Gough, et al.	707	104.1	
A47 5,706,435 1/6/98 Barbara, et al. 7/1 1/4/1 A48 5,706,502 1/6/98 Foley, et al. 707 10 A49 5,724,588 3/3/98 Hill, et al. 7/9 328 A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 7/9 204		A45	5,684,955	11/4/97	Meyer, et al.	719	3/6	
A48 5,706,502 1/6/98 Foley, et al. 707 10 A49 5,724,588 3/3/98 Hill, et al. 719 328 A50 5,727,145 3/10/98 Nessett, et al. 713 200 A51 5,617,537 4/1/97 Yamada, et al. 709 204		A46	5,689,709	11/18/97	Corbett, et al.	719	315	
A49 5,724,588 3/3/98 Hill, et al. 719 328 A50 5,727,145 3/10/98 Nessett, et al. 713 200 A51 5,617,537 4/1/97 Yamada, et al. 709 204		A47	5,706,435	1/6/98	Barbara, et al.	711	141	
A49 5,724,588 3/3/98 Hill, et al. 719 328 A50 5,727,145 3/10/98 Nessett, et al. 713 200 A51 5,617,537 4/1/97 Yamada, et al. 709 204		A48	5,706,502	1/6/98	Foley, et al.	707	10	
A50 5,727,145 3/10/98 Nessett, et al. 7/3 200 A51 5,617,537 4/1/97 Yamada, et al. 709 204		A49	5,724,588	3/3/98	Hill, et al.		328	
		A50	5,727,145	3/10/98	Nessett, et al.	713		
		A51	5,617,537	4/1/97	Yamada, et al.	709	204	
		A52	5,628,005	5/6/97	Hurvig	707	8	-
J A53 5,640,564 6/17/97 Hamilton, et al. 719 315	J	A53	5,640,564	6/17/97	Hamilton, et al.			

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



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		τ	J.S. PATENT	DOCUMENTS		_	
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DW	A54	5,644,768	7/1/97	Periwal, et al.	718	102	
	A55	5,652,888	7/29/97	Burgess, et al.	719	RECEIV	
	A56	5,655,148	8/5/97	Richman, et al.	710	B	LU
	A57	5,659,751	8/19/97	Heninger	1 / / / /	444	001
	A58	5,671,225	9/23/97	Hooper, et al.	3 70 Tech	nology Cent	er 2100
	A59	5,675,796	10/7/97	Hodges, et al.	718	- 100	PI E 100
	A60	5,737,607	4/7/98	Hamilton, et al.	719	316	
	A61	5,745,678	4/28/98	Herzberg, et al.	713	200	
	A62	5,745,695	4/28/98	Gilchrist, et al.	709	227	
	A63	5,745,703	4/28/98	Cejtin, et al.	709	238	
	A64	5,745,755	4/28/98	Covey	707	203	
	A65	5,748,897	5/5/98	Katiyar	709	219	
	A66	5,754,849	5/19/98	Dyer, et al.	707	101	
	A67	5,757,925	5/26/98	Faybishenko	709	203	
	A68	5,761,656	6/2/98	Ben-Shachar	707	4	
	A69	5,764,897	6/9/98	Khalidi	709	201	
	A70	5,768,532	6/16/98	Megerian	709	245	
	A71	5,774,551	6/30/98	Wu, et al.	713	155	
	A72	5,778,228	<i>7/</i> 7/98	Wei	719	328	
	A73	5,778,368	<i>7/</i> 7/98	Hogan, et al.	707	10	
	A74	5,787,425	7/28/98	Bigus	707	6	
	A75	5,787,431	7/28/98	Shaughnessy	707	100	
	A76	5,790,548	8/4/98	Sistanizadeh, et al.		401	
	A77	5,802,367	9/1/98	Held, et al.	719	332	
	A78	5,808,911	9/15/98	Tucker, et al.	719	316	
11	A79	5,809,507	9/15/98	Cavanaugh, III	707	103R	

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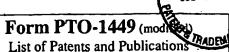
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Shakib, et al.

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TTY. DKT. NO. 5181-64700

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APPLICANT: Traversat et al

GROUP: 2152

FILING DATE: August 31, 2000

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DN	A81	5,815,149	9/29/98	Mutschler, III et al.	715	762	
(A82	5,815,709	9/29/98	Waldo, et al.	712	300	
	A83	5,815,711	9/29/98	Sakamoto, et al.	717	RECE	VED
	A84	5,818,448	10/6/98	Katiyar	709	203	
	A85	5,829,022	10/27/98	Watanabe, et al.	71)	116	2001
	A86	5,832,219	11/3/98	Pettus .	709 ec	hnology Cer	ter 2100
	A87	5,832,529	11/3/98	Wollrath, et al.	707	206	
	A88	5,832,593	11/10/98	Wurst, et al.	29	750	
	A89	5,835,737	11/10/98	Sand, et al.	710	113	
	A90	5,842,018	11/24/98	· Atkinson, et al.	715	501.1	
	A91	5,844,553	12/1/98	Hao, et al.	715	733	
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	A93	5,860,004	1/12/99	Fowlow, et al.	717	109	
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	A95	5,864,862	1/26/99	Kriens, et al.	707	103R	
	A96	5,864,866	1/26/99	Henckel, et al.	707	103R	
	A97	5,872,928	2/16/99	Lewis, et al.	709	222	
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	A99	5,875,335	2/23/99	Beard	717	139	
	A100	5,878,411	3/2/99	Burroughs, et al.	707	.4	
	A101	5,884,024	3/16/99	Lin, et al.	713	201	
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	A103	5,887,134	3/23/99	Ebrahim	709	200	
	A104	5,890,158	3/30/99	House, et al.	707	10	
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1/	A106	5,933,497	8/3/99	Beetcher, et al.	709	59	
	A107	5,935,249	8/10/99	Stern, et al.	713	201	

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DN	A108	5,940,827	8/17/99	Hapner, et al.	707	8	
1	A109	5,966,531	10/12/99	Skeen, et al.	719	315	
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	A116	6,026,414	2/15/00	Anglin	707	204	
	A117	6,031,977	2/29/00	Pettus	709	23Ó	
1/	A118	6,061,699	5/9/00	DiCecco, et al.	115	513	
	A119	6,061,713	5/9/00	Bharadhwaj	709	203	
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en.	D1	5,778,187	7/7/98	Monteiro, et al.	709	231	
, Pan	D2	5,832,529	11/3/98	Wollrath, et al.	707	206	
DN	D3	6,016,500	1/18/00	Waldo, et al.	707	202	
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
DN	Cı	.11-45187	10/10/97	JP			
1	C2	2 253 079	8/26/92	GB	RECE	AED	
	C3	2 262 825	6/30/93	GB	SEP 1	8 2001	
	C4	2 305 087	3/26/97	GB		1 1	
	C5	300 516	1/25/89	EP	Technology	Technology Senter 2100	
	C6	351 536	1/24/90	EP			
	C7	384 339	8/29/90	EP			
	C8	472 874	3/4/92	EP			
	C9	474 340	3/11/92	ЕР			
	C10	497 022	8/5/92	EP			
	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP			
	C13	569 195	11/10/93	EP			
	C14	697 655	2/21/96	EP			
	C15	660 231	6/28/95	EP			
	C16	651 328	5/3/95	EP			
	C17	625 750	11/23/94	EP			
	C18	718 761	6/26/96	EP			
	C19	767 432	4/9/97	EP			
	C20	778 520	6/11/97	EP			
V	C21	794 493	9/10/97	EP			

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FILING DATE: August 31, 2000

FOREIGN PATENT DOCUMENTS TRANSLATION **DOCUMENT NUMBER COUNTRY** CLASS SUB REF. DATE EXAM. YES/NO **CLASS** INITIALS DES. אם C22 803 810 10/29/97 EP 10/29/97 **EP** C23 803 811 RECEWED C24 805 393 11/5/97 **EP** C25 810 524 12/3/97 EP C26 817 020 1/7/98 EP Technology Center 2100 817 022 1/7/98 EP C27 C28 817 025 1/7/98 EP C29 836 140 4/15/98 EP C30 92/07335 4/30/92 WO wo · C31 92/09948 6/11/92 WO C32 93/25962 12/23/93 C33 WO 94/03855 2/17/94 C34 96/10787 4/11/96 WO C35 2/8/96 WO 96/03692 WO C36 96/18947 6/20/96 96/24099 8/8/96 WO C37 98/02814. WO C38 1/22/98 C39 98/04971 2/5/98 WO OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Jaworski, "Java 1.1 Developer's Guide, 2nd Edition," Sams.net, 1997. C40 C41 Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994. BY Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993. C42 DW C43 Lindholm, et al., "The Java ™ Virtual Machine Specification," Addison Wesley, 1996. "SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, D4 M http://msdn.Microsoft.com/xml/general/soapspec.asp, 34 pages. D4 Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages. ON

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APPLICANT: Traversat et al. 48

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